# Analysis of the Association Between Polymorphisms within *PAI-1* and *ACE* genes and Ischemic Stroke Outcome After rt-PA Therapy

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Received, December 28, 2018; Revised, April 11, 2019; Accepted, April 16, 2019; Published, April 18, 2019.

ABSTRACT - Purpose: Treatment of Ischemic stroke (IS) in acute phase is based on the use of thrombolytic rt-PA therapy. We aimed to determine whether different alleles and genotypes of I/D ACE gene and 4G/5GPAI-1 gene polymorphisms may influence outcome of rt-PA therapy in patients with IS and the occurrence of haemorrhagic transformation (HT). Methods: Our study included 94 consecutive patients with IS treated with rt-PA. Modified Rankin Scale (mRS) at 3rd month after IS was used to determine the stroke outcome, with scores 0-1 defining the favourable outcome, and scores 2-6 defining poor outcome. Genotypisation of the ACE-1 I/D polymorphism was performed by polymerase chain reaction and of the PAI-1 4G/5Gpolymorphism by polymerase chain reaction - restriction fragment length analysis. Results: Regarding PAI-I 4G/5G polymorphism, 44 patients (46.8%) were heterozygotes, and the number of 4G/4G and 5G/5Ghomozygotes was the same – 25 each (26.6%). Number of heterozygotes for the ACE I/D polymorphism was 54 (57.4%), 9 patients (9.6%) had II, and 31 (33%) DD genotypes. A favourable outcome was recorded in 26 (28.0%) and the poor outcome in 67 (72.0%) patients. Favourable and poor outcome groups did not differ significantly in PAI-1 4G/5G and ACE I/D polymorphisms genotype or allele frequencies. There was a statistically significant difference in the occurrence of HT between patients with ACE II and patients with ACE ID or DD genotypes (p=0.035). Conclusion: Results of our study suggest that stroke patients with ACE II genotype, treated with rt-PA, may be at risk of HT.

## INTRODUCTION

Ischemic stroke (IS) occurs due to an embolus or thrombosis causing vascular occlusion in situ in certain brain parts (1). IS is the third leading cause of death (2) and the leading cause of severe disability in the world (3). Around 85 - 90% of all stroke cases account for IS. Non-modifiable risk factors for stroke include gender, age, race, ethnicity and different genetic factors. Yet, diabetes mellitus (DM), hypertension, atrial fibrillation (AF), smoking, etc., are known as preventable or modifiable risk factors (5). These risk factors contribute not only to the development of IS (5) but also to poor recovery after IS (6). From the therapeutic point of view, the central zone of ischemia is irrelevant, since nerve cells cannot be saved. However, it is surrounded by penumbra, an area where the neurons are functionally disturbed, but structurally intact, with the possibility for the recovery of their function. Without timely recanalization, an irreversible structural and functional damage in the zone of penumbra will occur. This has a significant effect on neurological damage, that is, on disability level (7).

The therapy of choice in the acute phase of IS is an intravenous administration of recombined tissue plasminogen activator (rt-PA). Tissue plasminogen activator (t-PA) is synthesized mainly in vascular endothelial cells and has a crucial role in fibrinolysis or blood clot dissolving (8). Rt-PA is the only licensed substance until now, that is recommended to be applied during the first 3.5 to 4h after IS (9) considering that its function is achieved through blood clot dissolution and vascular recanalization (10). Studies have shown that an early application of this drug leads to a significant functional improvement and better recovery of patients with an IS. However, given that this therapy can cause potentially serious side effects, mostly haemorrhagic complications, the administration criteria are strictly defined (11). Nevertheless, it is still impossible to predict individual response to the rt-PA therapy. Pharmacogenetics studies should enable better understanding of the interpatients' variability.

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At this point, this field of the research is at the beginning, with available results from only several studies, including this one.

Angiotensin I-converting enzyme (ACE) is a significant enzyme in renin-angiotensinaldosterone system and kinin-kallikrein system (12). ACE has a role in vascular remodelling, arteriosclerosis and pathogenesis of hypertension and IS (13). The insertion/deletion polymorphism (I/D) in the 16<sup>th</sup> intron of the *ACE* gene refers to the presence of the insertion allele (I) with Alu sequence of 287bp, and the deletion allele (D) without Alu sequence (14). According to some studies, subjects with DD genotype have an increased plasma ACE activity compared to subjects with II genotype (15).

The product of *PAI-1* gene (plasminogen activator inhibitor 1) binds to t-PA, forming an inactive complex. In this way, PAI-1 acts as an inhibitor of endogenous fibrinolytic activity (16). Through this mechanism PAI-1 may have influence on rt-PA activity. Insertion-deletion polymorphism in the promoter region of *PAI-1* gene (4G/5G) is associated with the plasma level of PAI-1. 4G allele is linked to the higher expression of *PAI-1* gene, compared to 5G variant (17).

In this study we have analyzed whether different alleles and genotypes od I/D ACE and 4G/5G PAI-1 gene polymorphisms could influence outcome of rt-PA therapy in patients with IS, as well as the occurrence of haemorrhagic transformation, as the most disturbing side effect of the rt-PA therapy.

# METHODS

## Patients and data

This study involved 94 consecutive patients with IS that were treated with rt-PA therapy in Special Hospital of Cerebrovascular diseases "Sveti Sava", Belgrade, in the period of August 2016 to December 2017. Study included patients older than 18 years who were diagnosed with IS and who received rt-PA therapy. Patients with cerebral infarction caused by subarachnoid haemorrhage and sinus venous thrombosis, as well as those who did not give written informed consent to participate, were excluded from the study.

All patients were assessed using Modified Rankin Scale (mRS) 3 months after IS. Using mRS, we determined the favorable outcome as score 0 or 1 and poor outcome as scores 2 to 6 three months after IS, according to literature (18). According to these scores, patients were divided into two groups, favourable and poor outcome. The neurological deficit in all patients was also evaluated using The National Institutes of Health Stroke Scale (NIHSS) at hospital admission, 24 hours after rt-PA therapy and at discharge.

Data on demographic characteristics (age, gender), as well as on clinical characteristics (vascular risk factors – hypertension, atrial fibrillation, diabetes mellitus, ischemic heart disease, heart failure, peripheral artery disease, smoking habit, personal history of diseases) were obtained from medical records of patients at baseline. Custom blood and biochemical tests were performed for all patients at admission. All patients received thrombolytic therapy within 4 hours after onset of acute IS.

Haemorrhagic transformation after rt-PA therapy in CNS was recorded according to European Cooperative Acute Stroke Study (ECASS) III definition (intracranial bleeding on CT/magnetic resonance imaging with a neurological deterioration of at least 4 points on the NIHSS score from baseline) (19).

Side effects such as bleedings outside of CNS, allergic reactions to medication, epileptic seizures, agitation as well as intrahospital infection was also recorded. After 3 months all consecutive participants were contacted and mRS evaluation was performed by the specialist of neurology.

The study was approved by the Ethical Committee of the Special Hospital of Cerebrovascular diseases "Sveti Sava" and by the Ethical Commission of the Faculty of Medicine, University of Belgrade.

## Molecular genetic analysis

Total genomic DNA was extracted from peripheral blood leukocytes using a salting out method (20). PCR method was used for the analysis of *ACE-1 I/D* polymorphism, and polymerase chain reactionrestriction fragment length polymorphism (PCR-RLP) method for *PAI-1* 4G/5G polymorphism.

Primers used in PCR reaction for analysis of ACE-1 I/D polymorphism were: Fw 5'-CTG GAC ACC ACT CCC ATC CTT TCT -3', and Rev 5'-CTG GAC ACC ACT CCC ATC CTT TCT -3'. Conditions for the amplification were as follows: the initial denaturation at 95°C, in 35 3-step-cycles: a 1-minute denaturation at 94°C, hybridization at 63°C for 30 seconds, primer extension and DNA polymerization at 68°C for 1 minute and a final extension at 68°C for 7 minutes. Within the amplified sequence of ACE-1 gene that was 490bp long, there was an Alu sequence insertion that corresponds to the I allele. The

missing Alu sequence corresponds to D allele and 203bp long PCR product.

Analysis for *PAI-1* 4G/5G polymorphism was conducted using PCR-RFLP method. Primers that were used in this reaction were constraining a fragment of 99bp. Primers sequences were Fw: 5'-CAC AGA AGT CTG GCC ACGT -3', and Rev: 5'- CCA ACA GAG GAC TCT TGG TCT -3'. Conditions for the amplification were the same as ACE-1 I/D polymorphism, for except hybridization at 60°C for 1 minute. Restriction enzyme BsI I (New England Biolabs, Beverly, Massachusetts, USA) at 55°C for 15 min was used to digest PCR products. After digestion, we have expected that PAI-1 4G allele gives 99bp long restriction fragment while for PAI-1 5G allele expected restriction fragments were 77bp and 22bp long.

# STATISTICAL ANALYSIS

Statistical analyses were done with descriptive and analytical statistical methods. The significance of differences between categorical variables was tested using chi-square tests or Fisher's exact probability test, and significance between quantitative variables was tested using Student's T-test. A multivariate logistic regression model was then created in order to explore the association of outcome after three months with examined characteristics. Statistical analyses were done using SPSS 17.0.

# RESULTS

This study included 94 consecutive patients with IS. Of all patients, one did not finish follow-up period of 3 months, so we recorded drop-out rate of 1.1% which is acceptable in cohort studies and could not affect the validity of our results. More than a half of patients were males (51.1%). The average age of patients was  $70.22 \pm 11.3$  years (range 18 - 87), while the median age was 72. The most common comorbidity was hypertension, present in 91 patients (96.8%). The average NIHSS score at the admission was  $12.5 \pm 5.0$  (range 5 -25), twenty four hours after thrombolytic therapy  $9.9 \pm 5.7$  (range 1 - 25), and  $7.8 \pm 5.9$  (range 0 - 25) at discharge. The most common complication, observed in 15 (16%) patients, was haemorrhagic transformation (HT). Modified Rankin scale average score was  $3.2 \pm 2.0$  (range 0 - 6) after 3 months. Clinical and biochemical characteristics of patients, as well as cardiovascular risk factors and side effects after rt-PA therapy are given in Table 1.

NIHSS - The National Institutes of Health Stroke Scale; mRS - Modified Rankin Scale at 3<sup>rd</sup> month after ischemic stroke; INR - international normalised ratio; APTT - activated partial thromboplastin time

The majority of patients (44 (46.8%)) were heterozygotes for 4G/5G PAI-1 polymorphism and the number of homozygotes (4G/4G or 5G/5G) was equal – 25 each (26.6%). The frequencies of 4G and 5G alleles were the same, 50%. Among the patients, 54 (57.4%) were heterozygotes for the ACE I/D polymorphism. In 9 patients (9.6%) II genotype was observed, and 31 patient (33%) harboured DD genotype. The frequency of D allele was 61.7%.

After 3 months, a favourable outcome was recorded in 26 patients (28.0%), while the poor outcome was observed in 67 (72.0%) patients, including 21 death cases (22.6%), 13 (13.8%) of those during initial hospital admission.

There was a statistically significant difference between patients with favourable and poor outcome in terms of NIHSS at admission, 24 hours after rt-PA and at discharge (Table 1). Patients with favourable and poor outcome also differed in average age (Table 1).

However, two groups (favourable and poor outcome) did not differ significantly in genotypes or alleles frequencies of PAI-1 4G/5G and ACE I/D polymorphisms. The results referring to the outcomes and differences between different genotypes are shown in Table 2.

After multiple regression analysis that included effects of age, gender, NIHSS scores at admission, 24h after rt-PA and at discharge, and urea, we have observed that only age of patient (OR (95% CI): 1.078 (1.008-1.153), p=0.028) and NIHSS at discharge (OR (95% CI): 1.511 (1.055-2.164), p=0.024) statistically significantly influence rt-PA therapy outcome measured by mRS.

Patients with 4G/4G genotype had lower age of stroke occurrence (67.38±13.83) compared with 4G/5G and 5G/5G genotypes (70.85±8.67) (p=0.021). This difference was even more obvious when we compared 4G/4G and 5G/5G genotypes (72.56±9.36) (p=0.006). There was a statistically significant difference in the occurrence of HT between patients without *ACE D* allele (*II* genotype) compared to patients with *ACE D* allele (*ID* or *DD* genotypes) (p=0.035) (Table 3.).

variable	favourable	poor outcome	р	all	
	outcome			n, % / mean ±SD, range	
Patients	26 (28.0)	67 (72.0)		93	
Age	64.69±16.39	72.55±7.67	0.002	$70.22 \pm 11.3 \ (18 - 87)$	
Cardiovascular comorbidities					
Hypertension	24 (92.3)	67 (100)	0.076	91 (96.8)	
Atrial fibrillation	7 (26.9)	19 (28.4)	0.89	26 (27.7)	
Diabetes mellitus	6 (23.1)	17 (25.4)	0.818	23 (24.5)	
Ischemic heart disease	4 (15.4)	20 (29.9)	0.192	24 (25.5)	
Heart failure	1 (3.8)	11 (16.4)	0.168	12 (12.8)	
Peripheral artery disease	1 (3.8)	3 (4.5)	1.000	4 (4.3)	
Smoking habit					
Smokers or ex-smokers	7 (26.9)	23 (34.3)	0.493	33 (33.1)	
Personal history of diseases					
Previous stroke	2 (7.7)	7 (10.4)	1.000	9 (9.7)	
Transitory ischemic stroke (TIA)	2 (7.7)	4 (6.0)	0.671	6 (6.5)	
Previous myocardial infarction	1 (4.0)	4 (6.0)	1.000	5 (5.3)	
NIHSS at admission	9.96±3.63	$13.55 \pm 5.12$	0.002	$12.5 \pm 5.0 (5 - 25)$	
NIHSS at 24 h	5.73±2.57	$11.49 \pm 5.81$	0.000	$9.9 \pm 5.7 \; (1 - 25)$	
NIHSS at discharge	3.31±2.01	$9.51 \pm 6.00$	0.000	$7.8 \pm 5.9 \; (0 - 25)$	
mRS after 3 months				$3.2 \pm 2.0 \ (0-6)$	
Clinical parameters at admission					
Systolic blood pressure	$157.12\pm26.46$	$164.18 \pm 28.22$	0.274	$161.9 \pm 27.7(100 - 220)$	
Diastolic blood pressure	86.15±12.03	90.07±11.40	0.146	$88.9 \pm 11.6 \ (60 - 120)$	
Glucose level	7.33±2.04	$7.69 \pm 2.73$	0.547	$7.6 \pm 2.6 \ (4.8 - 22.2)$	
INR	$1.11 \pm 0.21$	$1.14\pm0.16$	0.463	$1.13 \pm 0.18 \ (0.84 - 1.95)$	
APTT	$26.37 \pm 4.04$	$26.34 \pm 4.02$	0.978	$26.4 \pm 4.0 \; (19.8 - 38.3)$	
Urea	6.50±1.49	7.49±2.00	0.031	$7.3 \pm 1.9 \ (4.2 - 13)$	
Creatinine	$100.13 \pm 16.10$	105.52±25.99	0.344	$104.1 \pm 23.8 \ (63 - 194)$	
Biochemical parameters, day 1					
Total cholesterol	5.11±1.46	$5.08 \pm 1.25$	0.938	$5.1 \pm 1.3 \ (1.5 - 7.5)$	
LDL cholesterol	$4.54 \pm 0.62$	$4.57 \pm 0.42$	0.906	$4.5\pm 0.5\ (3.6-5.5)$	
HDL cholesterol	$1.45 \pm 0.27$	$1.45 \pm 0.28$	0.945	$1.4 \pm 0.3 \; (1.1 - 2.0)$	
Triglycerides	$1.55 \pm 1.01$	$1.50\pm0.72$	0.804	$1.5\pm0.8~(0.4-4.8)$	
Side effects					
Hemorrhagic transformation	1 (3.8)	14 (20.9)	0.059	15 (16)	
Systemic bleeding	0 (0.0)	3 (4.5)	0.557	3 (3.2)	
Intrahospital infection	4 (15.4)	9 (13.4)	0.752	13 (13.8)	
Agitation	1 (3.8)	4 (6.0)	1.000	5 (5.3)	
Allergic reactions	0 (0.0)	3 (4.5)	0.557	3 (3.2)	

 Table 1. General characteristics of patients and characteristics of patients with favourable and with poor stroke outcome after 3 months

NIHSS - The National Institutes of Health Stroke Scale; mRS - Modified Rankin Scale at 3<sup>rd</sup> month after ischemic stroke; INR - international normalised ratio; APTT - activated partial thromboplastin time

¥.	favourable outcome, n (%)	poor outcome, n (%)	р
PAI-1 polymorphism			
4G/4G	9 (34.6)	16 (23.9)	
4G /5G	10 (38.5)	33 (49.2)	0.530
5G/5G	7 (26.9)	18 (26.9)	
ACE I/D polymorphism			
I/I	0 (0.0)	9 (13.4)	
I/D	15 (57.7)	38 (56.7)	0.100
D/D	11 (42.3)	20 (29.9)	

Table 2. Genotypes and stroke outcome

Table 5: Genotypes and naer	normagie transfor	ination	
	HT, n (%)	without HT, n (%)	р ОК (95% СІ)
PAI-1 polymorphism			
4G/4G	5 (5.4)	20 (21.5)	
4G/5G	7 (7.5)	36 (38.7)	0.721
5G/5G	3 (3.2)	22 (23.6)	
ACE I/D polymorphism			
I/I	4 (4.3)	5 (5.4)	
I/D	7 (7.5)	46 (49.5)	0.074
D/D	4 (4.3)	27 (29.0)	
I/I	4 (4.3)	5 (5.4)	0.035
I/D+ D/D	11 (11.8)	73 (78.5)	5.309 (1.233-22.853)

<b>Table 3.</b> Genotypes and haemorrhagic transfor
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HT- haemorrhagic transformation

#### DISCUSSION

So far, a number of genes with products involved in processes of angiogenesis, coagulation / fibrinolysis, and inflammation were identified (21). Gene polymorphisms are responsible for different levels of gene expression or gene products activity, and they have a direct influence on cell physiology and functionality, as well as on tendencies toward specific diseases, therapy response, pathogenesis, and in the end, on the disease outcome (22). Having this in mind, in our study we have focused on the influence of the single nucleotide variant (SNP) of the PAI-I gene and the insertion/deletion variation (INDEL) of the ACE gene on the recovery of the stroke patients treated with rt-PA, as well as on the incidence of haemorrhagic transformation in CNS after applying the above-mentioned therapy.

The association between ACE I/Dpolymorphism and coronary artery disease, myocardial infarction, and thrombosis was found (23). It has also been proven that the DD genotype is commonly associated with the cardiovascular events (24). Apart from that, DD genotype is also linked to the appearance of leucoaraiosis, in cases of lacunar infarction (25). The largest number of studies focused so far on assumption that ACE I/D polymorphism may be a risk factor for the occurrence of IS. Calita and associates demonstrate that people with DD genotype have a greater risk of suffering not only from IS, but haemorrhagic stroke as well (26). Scientists from China confirmed this in the meta-analysis, where they demonstrated the association between DD genotype and risk of suffering an IS for Caucasian people (27). However, some other studies did not obtain the same results (28, 29). Malueca and associates (30) demonstrated that D allele of ACE I/D polymorphism was associated with poorer functional recovery of patients who suffered an IS. On the other hand, very few studies have focused on the association between ACE I/D polymorphism and response to rt-PA therapy after suffering an IS. Fernández-Cadenas and associates (31) focused on the association between ACE I/D polymorphism genotypes and artery recanalization after rt-PA therapy on a sample of 96 IS patients. A significant link between DD genotype and the extent of recanalization after 1h, 6h, and 24h was observed. These results do not match the ones from our study. where we could not demonstrate the association between ACE I/D polymorphism genotypes and functional recovery of patients measured by mRS scores. Differences in findings may be consequence of different methods used for therapy efficacy assessment, since extent of recanalization may not necessarily be followed with the same extent of functional recovery.

Along with the therapy efficiency Fernández-Cadenas et al. also analysed the occurrence of haemorrhagic transformation based on the genotype and failed to observe the association between ACE I/D genotypes and the occurrence of haemorrhagic transformation. In our study, 16% of patients had HT, and we have found a statistically significant higher occurrence of HT in patients with II genotype compared to patients with ID or DD genotypes (p=0.015). These results are contradictory to the hypothesis that ACE DD genotype may be associated with intracerebral haemorrhage by impairing vasoconstriction and increasing hypertension, but this association has not been observed in Caucasian population (32). Results of our study may be explained with the findings that ACE, beside the vasoconstrictive

effects, has a role in fibrinolysis suppression. Fibrinolysis suppression is a result of influence of angiotensin II and bradykinin, molecules regulated by ACE, on *t-PA* and *PAI-1* genes expression (33). Following this mechanism, lower ACE levels or activity, like in the carriers of *ACE II* genotype, may result in higher fibrinolysis and higher rate of HT occurrence.

In the last few years, 4G/5G polymorphism of PAI-1 gene was often studied in the area of cerebrovascular diseases, including IS. When it comes to the association between the PAI-1 4G/5Gpolymorphism and IS, as well as in case of I/D polymorphism of ACE gene, the focus of attention was on determining the risk for different PAI-1 genotypes carriers of suffering an IS. Hu and associates demonstrated a significant association between the 4G/4G genotype and increased risk of suffering an IS within an adult population, but not within children population (34). Other authors also pointed out that 4G/4G genotype was a risk factor for suffering an IS within Chinese population (35). There are opposite results with findings that *PAI-1* 4G allele is a protective factor against IS and that it is acting through plaques stabilisation (36). To our knowledge, the only study considering association between 4G/5G polymorphism and rt-PA efficacy, conducted on 156 IS patients, reviled that 4G/4G genotype may be responsible for the poorer recovery of patients with IS treated with rt-PA (37). Patients recovery in mentioned study was measured by mRS and reocclusion rate. Our study could not confirm impact of 4G/5G polymorphism genotypes on patient's recovery evaluated by modified Rankin scale, nor its influence on rate of HT. Regarding small number of available studies and complex, not fully explained role of PAI-1 activity in IS occurrence and development (36), it is still early for final conclusions.

In conclusion, our study suggests that patients with ACE II genotype may be in risk of HT. This could be important finding because HT is the most feared complication of tr-PA therapy in ischemic stroke. However, additional studies on a larger number of patients are necessary in order to make this information confirmed. Our study had several limitations. The number of patients was quite small. Additionally, our survey focused only on short-term patients' outcome (after 3 months) and extra studies are necessary to explore the relationship of the SNPs to the long-term recovery and functional outcomes after stroke.

# ACKNOWLEDGMENTS

This study was supported by the Ministry of Education, Science and Technological Development of the Republic of Serbia [grants no. 175091 and 175087].

# **CONFLICTS OF INTEREST**

The authors report no conflict of interest.

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